



Monest Capital Inc.

Account Information

Monest Capital Inc. (also referred to as the "Firm")

Individual

Investment Advisor

Please refer to the attached List of Accounts for all account numbers and descriptions.

Client Identification Number

☐ ☐ ☐ ☐

☐ IA Change

☐ NEW CLIENT ☐ UPDATE

☐ Individual ☐ Joint* ☐ Nominee ☐ Investment Club*

* Additional information required – attach Supplemental Account Profile

IS IA REGISTERED IN PROVINCE IN WHICH CLIENT RESIDES? ☐ YES ☐ NO

Social Insurance Number

Account Holder MR. MRS. MS.

Co-Account Holder MR. MRS. MS. First Name Initial Last Name

Social Insurance Number

(if Joint Account)

Marital Status ☐ Single ☐ Married/Common Law ☐ Widowed

Spouse's Name

Spouse's Occupation

of Dependents

Account Holder's Residential Address

(Street No. & Name / Physical address required)

Apt. No.

City Province Postal Code Home Telephone () Home Fax ()
Country Email Address Cellular ()

Employer's Name

(If self-employed, provide details)

Business Telephone ()

Business Fax ()

Street No. & Name

Suite No.

City Province Postal Code

Occupation Years with Employer Date of Birth (MMDDYY)

Send Statements/Confirmations to: ☐ Residence ☐ Business ☐ Other (provide details)

Name Relationship

Street No. & Name City Province Postal Code

THE FOLLOWING INFORMATION IS REQUIRED BY SECURITIES LAW

Investment Experience (Complete all categories)

	NONE	LIMITED	MODERATE	EXTENSIVE		NONE	LIMITED	MODERATE	EXTENSIVE
Common Shares	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Money Market	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Preferred Shares	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Mutual Funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	New Issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Short Sales	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Commodities/Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Venture Situations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Margin	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Bank Reference

Bank Name Branch Account Number

Account Holder Assets

Estimated Net Liquid Assets + Estimated Net Fixed Assets - Other Liabilities = Estimated Total Net Worth Approximate annual income from all sources
(cash & securities less loans outstanding against securities) (fixed assets less liabilities outstanding against fixed assets)

Citizenship

Country of birth

Citizenship

Is the Profiled Person a U.S. Citizen?

☐ YES ☐ NO

Residency for tax purposes (Province / Country)

If yes, state U.S. SSN/TIN

and provide W9

Verification of Identity To be certified by Employee of the Firm or verified by Authorized Person. Indicate what document has been seen and attach a copy.

Has the IA personally met the Client? ☐ YES ☐ NO

☐ Passport ☐ Driver's Licence ☐ National Identity Card ☐ Provincial Health Insurance Card ☐ Age of Majority Card

☐ W8 – BEN (to be used for IRS reporting purposes only if none of the above forms of identification is available)

1. Will any persons other than the Client: (If yes to (a) or (b), Supplemental Account Profile must be provided)

(a) Have trading authorization for this account? If yes, name: _____

☐ YES ☐ NO

(b) Have full authorization for this account? If yes, name: _____

☐ YES ☐ NO

(c) Guarantee this account? If yes, name/relationship: _____

☐ YES ☐ NO

(d) Have a financial interest in this account? If yes, name: _____

☐ YES ☐ NO

2. Does the IA have a direct or indirect interest in this account other than commission?

☐ YES ☐ NO

If yes, provide details: _____

1008-250 Consumers Road

Toronto, Ontario

M2J 4V6, Canada

416-855-4565

office@monest.ca

www.monest.ca

3. Does the Client have or control the trading of, or have a financial interest in, any other accounts with other investment firms? ☐ YES ☐ NO
If yes, provide details: _____
4. Is the Client related to and residing at the same address as an Employee of the Firm or any other firm? ☐ YES ☐ NO
If yes, name: _____
5. Is the Client, any person with authority over this account, or a defined relative of either, a Politically Exposed Foreign Person? (The definition of a Politically Exposed Foreign Person is included with the Client Account Agreement.) ☐ YES ☐ NO

Is the Client an "Insider" and/or "Control Person" of any public companies listed in either Canada or the U.S.? ☐ YES ☐ NO
See descriptions below.

If yes, please provide details in the table. (If the Client is both an Insider and a Control Person, use a separate line for each designation.)

Insider:

Officer or director (Canadian and U.S. companies)

or

Person who has direct or indirect beneficial ownership of, control or direction over (or a combination thereof) 10% or more of the voting rights attached to the securities of a public company listed in Canada (5% or more for a public company listed in the U.S.)

Control Person:

Holds or exercises control or direction over, or has any agreement, arrangement, commitment, or understanding (whether or not in writing) with any other persons with respect to 20% or more of the voting rights attached to the securities of a public company listed in Canada (greater than 10% for a public company listed in the U.S.)

Symbol	Market	Company	Relationship (Indicate Insider or Control)

- Does the Client have any other accounts with the Firm? ☐ YES ☐ NO
If yes, provide account numbers: _____
- Does the Client have an RRSP or RRIF? ☐ YES ☐ NO
If yes, provide details: _____
- How did the Client learn about the Firm? ☐ Existing Client ☐ Personal Contact ☐ Advertising/Direct Mail ☐ Phone/Walk In
- ☐ Referred By: _____
- Who is the Client currently using for investment advice? ☐ The Firm ☐ Accountant ☐ Lawyer ☐ Bank Personnel ☐ Self ☐ Relative
☐ Another IA ☐ Other (please specify) _____

Credit Information

I hereby consent to the Firm obtaining personal and credit information about me at any time from my employer, bank or other financial institution, credit bureau, credit or consumer reporting agency, or any other person for the purpose of establishing my identity, reputation and credit worthiness. ☐ YES ☐ NO

Account Holder Initials _____

Co-Account Holder Initials _____

Sign Here

Margin

The undersigned requests margin trading privileges, consents to a credit check and acknowledges having received, read and understood all the provisions relating to margin contained in the Client Account Agreement and agrees to be bound by them.

X _____ X _____
Account Holder Signature Date (MM/DD/YY) Co-Account Holder Signature Date

Account Information

Sign Here

Account Number & Type _____

Investment Objectives**

Preservation of Capital / Low Risk _____ %
Income / Low to Medium Risk _____ %
Moderate Growth / Medium Risk _____ %
Short Term Trading / Medium to High _____ %
Risk Speculative / High Risk _____ %
= 100%

Time Horizon**

☐ Less than 1 year
☐ 1 to 3 years
☐ 3 to 5 years
☐ 5 to 10 years
☐ 10+ years

Initials *** \

** Explanation of Investment Objectives and Time Horizon is included with Client Account Agreement

*** Account Holder \ Co-Account Holder (applicable to Investment Objectives and Time Horizon)

Statement of Policies

The undersigned acknowledges having received and read Monest Capital Inc.'s Statement of Policies. The undersigned understands that Monest Capital Inc. will provide a revised Statement of Policies if any information in the statement changes.

Sharing of Office Premises

Transactions in insurance products (including segregated funds) and estate planning services are provided to you by Monest Capital Inc. Monest Capital Inc., an investment dealer regulated by the Investment Industry Regulatory Organization of Canada.

Account Agreement

The undersigned certifies the information provided in this application is true and complete and agrees to advise Monest Capital Inc. of any material change in the information. The undersigned further certifies having the capability of evaluating and bearing the financial risk inherent buying and selling securities and that trading, in all transactions for which approval is sought, is suitable for the purposes of the stated investment objectives. The undersigned acknowledges receipt of Monest Capital Inc.'s Statement of Policies and Explanation to Clients concerning National Instrument 54-101. The undersigned acknowledges the receipt, reading and understanding of, and agreement to the terms and conditions set out in the Client Account Agreement and the Relationship Disclosure document.

NATIONAL INSTRUMENT 54-101: SHAREHOLDER COMMUNICATION INSTRUCTIONS

TO: THE FIRM

I have read and understand the explanation to clients that has been provided to me in connection with this form and the choices indicated by me apply to all of the securities held in my accounts.

PART 1 – DISCLOSURE OF BENEFICIAL OWNERSHIP INFORMATION

Please mark the corresponding box to show whether the client **DOES NOT OBJECT** or **OBJECTS** to the Firm disclosing name, address, electronic mail address, securities holdings and preferred language of communication to issuers of securities held with the Firm and to other persons or companies in accordance with securities law.

- ☐ I **DO NOT OBJECT** to you disclosing the information described above.
- ☐ I **OBJECT** to you disclosing the information described above.

PART 2 – RECEIVING SECURITYHOLDER MATERIALS

Please mark the corresponding box to show what materials the client wants to receive. Securityholder materials sent to beneficial owners of securities consist of the following materials: (a) proxy-related materials for annual and special meetings; (b) annual reports and financial statements that are not part of proxy-related materials; and (c) materials sent to securityholders that are not required by corporate or securities law to be sent.

- ☐ I **WANT** to receive **ALL** securityholder materials sent to beneficial owners of securities.*
- ☐ I **DECLINE** to receive **ALL** securityholder materials sent to beneficial owners of securities. (Even if I decline to receive these types of materials, I understand that a reporting issuer or other person or company is entitled to send these materials to me at its expense.)
- ☐ I **WANT** to receive **ONLY** proxy-related materials sent in connection with a special meeting.

* Security holder materials are not provided for managed accounts. Please refer to the Managed Account Agreement Form in this document package (if applicable).

PART 3 – PREFERRED LANGUAGE OF COMMUNICATION

Please mark the corresponding box to show the client's preferred language of communication.

- ☐ ENGLISH ☐ FRENCH

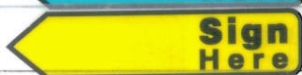
I understand that the material I receive will be in my preferred language of communication if the materials are available in that language.

X
SIGNATURE OF ACCOUNT HOLDER



DATE (MM/DD/YY)

X
SIGNATURE OF CO-ACCOUNT HOLDER



DATE (MM/DD/YY)

FOR FIRM USE ONLY

INITIAL PROPOSED TRANSACTION
☐ Transfer of Account ☐ Deposit of Securities ☐ Deposit of Funds \$ _____ INITIAL ORDER NO. OF SHARES SYMBOL VALUE OF ORDER
☐ Buy ☐ Sell _____ \$ _____

DAP SETTLEMENT AGENT AGENT ACCOUNT # CUID

IA COMMENTS (INVESTMENT KNOWLEDGE, SPECIAL PRODUCTS)

PURPOSE OF ACCOUNT

IA SIGNATURES AND DATE

I confirm my regulatory obligation to complete a suitability assessment for the corresponding account(s) based on the Know-Your-Client information provided in this Account Information form.

BRANCH MANAGER APPROVAL

DATE

OFFICER/DIRECTOR APPROVED

DATE



Monest Capital Inc.
1008-250 Consumers Road
North York, ON
M2J 4V6

Attention: Compliance Department

Dear Sir or Madam:

Re: Account# _____

I wish to acknowledge to your firm that I have been advised of the inherent risk factors associated with short term and venture trading.

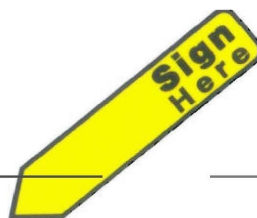
I understand that due to the highly speculative nature of many of the companies categorized as high risk that this type of investment may appear unsuitable from the information provided on my Account Information form. Furthermore, I recognize and acknowledge that I could lose all the money I invest.

Although I have been advised of the risks associated with this type of investment, I wish to proceed with these objectives/investments and confirm that I am investing entirely at my own risk.

As such, I hereby indemnify and hold harmless Monest Capital Inc., its officers, directors and employees, and my investment advisor for any and all losses that I may incur as a result of purchase orders placed for this account.

Yours very truly,

Client Signature



Print Name

1008-250 Consumers Road
Toronto, Ontario
M2J 4V6, Canada
416-855-4565
office@monest.ca
www.monest.ca



Monest Capital Inc.

CLIENT INTERNET ACCESS AGREEMENT

There are four steps to completing this form:

1. Carefully read this Agreement.
2. Sign and date this Agreement where required.
3. Fill in your account numbers and personal information in the box at the end of this Agreement.
4. Send this Agreement to your Investment Advisor.

The undersigned ("Client"), in consideration of Monest Capital Inc. (the "Agent") providing the Client with access via the Internet to such information as the Agent may make available in respect of any Account of the Client (the "Internet Account Enquiry Access"), agrees with the Agent, on behalf of the Agent, and as trustee for the directors, officers, employees and agents of the Agent, as follows:

1. AUTHORITY

The Client hereby authorizes the Agent to process any instructions to the Agent entered via the Internet as if the Client had given those instructions in writing delivered to the Agent. Without limitation, the Client hereby authorizes the Agent to provide information in respect of any Account of the Client in accordance with any request for that information made via the Internet (an "Account Enquiry") and to provide any other services as outlined in this Agreement or from time to time in publications of the Agent or on the website of the Agent at <http://www.monest.ca/eng/mainmenu.html>.

2. LIMITATION OF LIABILITY

The Agent may, in its discretion, act in all matters on instructions given or purporting to be given by or on behalf of the Client by an Account Enquiry using the Services. The Agent will not incur any liability by reason of acting or not acting on or because of any error in any such Account Enquiry. The Client agrees to be solely responsible for the accuracy of any instruction communicated to the Agent using the Services.

The Client acknowledges that the use of the Services is at the sole risk of the Client. Neither the Agent nor its affiliates nor any of their directors, officers, employees, agents, third party content providers or licensors warrant that the Services will be uninterrupted or error free; nor do they make any warranty as to the results that may be obtained from use of the Services, or as to the accuracy, reliability or content of any information or service provided through the Services. The Services are provided on an "as is" basis without any warranties or conditions of any kind, either express or implied, including, but not limited to, warranties of merchantability or fitness for a particular purpose, other than those warranties that are implied by and incapable of exclusion, restriction or modification under the laws applicable to this Agreement.

The Client agrees that the Agent will not be liable for any loss or damage resulting from any cause whatsoever (including the negligence of the Agent) including, but not limited to, acts or omissions of third party content providers or suppliers, failure of electronic or mechanical equipment or communications lines, telephone or other inter-connect problems, unauthorized access, computer viruses, delays in operation or transmission, theft, power failure, labour disputes or government intervention.

In no event will the Agent or any person involved in creating, producing or distributing the Services be liable for any special, indirect, incidental or consequential damages, including, without limitation, lost revenues, lost profits or loss of prospective economic advantage resulting from the

use or misuse of the Services or the Agent's website even if the Agent is advised of the possibility of such damages, or for any claim by another party.

Neither the Agent nor its affiliates nor any of their directors, officers, employees, agents, third party content providers or licensors will be liable, regardless of the cause or duration, for any errors, inaccuracies, omissions or other defects in, or lack of timeliness or lack of authenticity of, the information provided to the Client or contained on the website of the Agent, or for any delay or interruption in the transmission of that information to the Client, or for any claims of losses so arising. Nor will any of these persons be liable for any investment decision based on the information provided.

3. CARE OF PASSWORDS

The Client acknowledges that it is responsible for the care and safety of the Password. The Client agrees not to disclose the Password to any person who does not have the full authority of the Client to access the Accounts (a "Delegate"). The Agent is under no obligation to confirm the actual identity or authority of any user of the Password or other user identification issued to the Client. The Client agrees to take full responsibility for any access by a Delegate in respect of any Account of the Client.

The Client agrees to be responsible for all costs and charges incurred through use of the Password, including use by a Delegate. The Client must change the Password on a regular basis to reduce the potential for unauthorized use.

The Client will notify the Agent immediately, by telephone and in writing, of the disclosure or any unauthorized use of the Password. The Client acknowledges that its liability will cease only when notice of disclosure or unauthorized use is received by the Agent.

The Client will be considered as contributing to the unauthorized use of the Password and will be fully liable where: (i) the Password the Client has selected is the same as or similar to an obvious number combination such as (but not limited to) the Client's date of birth, account number(s), telephone number(s) or street address; (ii) the Client writes the Password down or keeps a poorly disguised written record of the Client's Password; or (iii) the Client otherwise reveals the Client's Password resulting in the subsequent unauthorized use of the Client's Password.

4. INTERPRETATION AND MISCELLANEOUS

4.1 Definitions

in this Agreement:

- (a) "Account" means all and any one of the accounts of the Client with the Agent, including accounts previously opened, opened concurrently or in the future or from time to time closed and then reopened, renumbered or redesignated;
- (b) "Client Account Agreement" means any agreement between the Client and the Agent or a predecessor of the Agent in force from time to time governing the appointment of the Agent or a predecessor of the Agent as agent to execute transactions on behalf of the Client in Securities;
- (c) "Password" means the personal password selected by the Client for access to the Services via the Internet;
- (d) "Services" means Internet Account Enquiry Access and any other services provided from time to time by the Agent through the Internet, accessed by the Client via the Internet and a Password and outlined in this Agreement or from time to time in publications of the Agent or on the website of the

Agent at <http://www.monest.ca/eng/mainmenu.html>

4.2 Records of Agent

Despite any information provided to the Client in response to an Account Enquiry, the only conclusive statement of the Account of the Client is the Statement communicated to the Client or corrected by the Client in accordance with the Client Account Agreement.

4.3 Discontinuance of Services

The Client agrees and acknowledges that the Agent may modify or discontinue the Services or any part of them at any time. The Client also acknowledges that the Services may be periodically unavailable to allow for systems maintenance and updates.

4.4 Other Agreements

This agreement is in addition to and not in substitution for any other agreements between the Client and the Agent, including the Client Account Agreement and any other agreement relating to the Client's Account or the Services. In connection with the Services, this agreement will prevail in the event of any inconsistency between this agreement and other agreements between the Client and the Agent. Except to the extent that they are inconsistent with this Agreement, the Client Account Agreement and the terms and conditions posted from time to time on the Agent's website at <http://www.monest.ca/eng/mainmenu.html> are incorporated by reference into this Agreement and apply to the Service.

4.5 Governing Law

This Agreement is governed by the law of Ontario and, subject to the Client Account Agreement between the Client and the Agent and useless otherwise agreed by the Agent and the Client in writing, the Agent and the Client will submit exclusively to the courts of Ontario any and all disputes in connection with the operation of this Agreement and the Service or any transaction or intended transaction using the Service or arising out of or relating to this Agreement.

4.6 Severability

If any term of this Agreement is found by a court of competent jurisdiction to be invalid, illegal or void, that term will be severed from this agreement, and the remaining terms of this Agreement will continue in full force and effect, modified only to reflect the severance of that term.

4.7 Binding Effect

This Agreement ensures to the benefit of and is binding on the Agent, its successors and assigns and the Client and the heirs, executors, administrators, successors and permitted assigns of the Client.

4.8 English Language Only

The parties to this Agreement have expressly requested and required that this Agreement, and all other related documents, be drawn up in the English language.

I have read this Agreement and the terms and conditions posted on the Agent's website and I agree to be bound by them.

X Dated: _____

X Name of Client _____

X Signature of Client _____

X Name of spousal or joint accountholder (if applicable) _____

X Signature of joint accountholder (if applicable) _____

Sign Here

Sign Here

Until I give other instructions, please provide me with access to all accounts, as defined in Section 4.1.(a), related to the following Client Identification Numbers (the "Client ID").

1. -

2. -

3. -

4. -

5. -

My current mailing address, e-mail address, and phone number are as follows.

Address _____

City _____ Province _____ Postal Code _____

Phone number _____

I authorize the Agent to e-mail a temporary password to me at the e-mail address given here.

E-mail address _____

FOR MONEST CAPITAL INC. USE ONLY

I have verified the following information:

- ☐ Client name and signature
- ☐ Joint accountholder name and signature
- ☐ Account numbers
- ☐ Address, E-mail address, and phone number

Assigned User ID: _____

Dated: _____

Initials of administration _____

Revised June 2016